

PATENTS  
CF/002 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT APPLICATION

Applicants : Stuart A. Fraser et al.  
Filed : Herewith  
For : SYSTEMS AND METHODS FOR TRADING

New York, New York  
April 20, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Sir:

Preliminary to the examination, applicants hereby amend  
the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of  
this paper.

**Amendments to the Claims** are reflected in the  
listing of claims which begins on page 3 of this paper.

**Remarks** begin on page 5 of this paper.